

The incidence game chromatic number

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Abstract

We introduce the incidence game chromatic number which unifies the ideas of game chromatic number and incidence coloring number of an undirected graph. For k -degenerate graphs with maximum degree Δ , the upper bound $2\Delta + 4k - 2$ for the incidence game chromatic number is given. If $\Delta \geq 5k$, we improve this bound to the value $2\Delta + 3k - 1$. We also determine the exact incidence game chromatic number of cycles, stars and sufficiently large wheels and obtain the lower bound $\frac{3}{2}\Delta$ for the incidence game chromatic number of graphs of maximum degree Δ .

Key words: incidence coloring number, game chromatic number, k -degenerate graph, star, wheel, cycle, game chromatic index

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1 Introduction

Let $G = (V, E)$ be a graph with vertex set V and edge set E . The set of incidences of G is defined as

$$I = \{(v, e) \in V \times E \mid v \text{ is incident with } e\}.$$

Two distinct incidences $(v, e), (w, f) \in I$ are *adjacent* if $(v, f) \in I$ or $(w, e) \in I$. This means in particular, if either $v = w$ or $e = f$, then the incidences (v, e) and (w, f) are adjacent. See Fig. 1.

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Fig. 1. (a) pairs of adjacent incidences (b) pairs of non-adjacent incidences. A white dot denotes a vertex, a black dot on an edge e next to a vertex v denotes the incidence (v, e) .

Consider the following game which is played on I with a color set C . Two players, Alice and Bob, alternately color an incidence with a color from C in such a way that incidences that are adjacent receive distinct colors. The game ends when this is not possible any more. Alice wins if every incidence is colored at the end of the game, otherwise Bob wins. The smallest number of colors, so that Alice has a winning strategy for the game played on I , is called incidence game chromatic number $\iota_g(G)$ of G . This is a competitive version of the incidence coloring number introduced by Brualdi and Massey [3].

The *game chromatic number* $\chi_g(G)$ (resp. *game chromatic index* $\chi'_g(G)$) of a graph G is defined for a similar game where vertices (resp. edges) of a graph are colored instead of incidences, and where in the definition of the game the adjacency of incidences is replaced by the adjacency of vertices (resp. edges). It was introduced by Bodlaender [2] (resp. Cai and Zhu [4]). To be precise, we assume in our games that Alice has the first move, and missing a turn is not allowed.

If G is a graph, then G^I is the graph the vertices of which are the incidences of G , with edges joining adjacent incidences. Thus $\iota_g(G) = \chi_g(G^I)$. However, in this paper we will only make use of this construction in the proof of Theorem 3.

An *incidence coloring* of a graph G is a color assignment to its incidences in such a way that adjacent incidences receive distinct colors. The minimum number of colors needed for G is the *incidence coloring number* of G . An *incidence of an edge* $e = vw$ is one of the incidences (v, e) or (w, e) . Later we will also consider the incidences of an oriented graph. In this case, in all previous definitions the edges have to be replaced by arcs.

Upper bounds for the incidence coloring number have been determined for several classes of graphs, e.g. for k -degenerate graphs, K_4 -minor free graphs and planar graphs [9], and graphs with maximum degree 3 [13]. These bounds depend on the maximum degree of the graphs. Guiduli [8] found the tight asymptotic upper bound $\Delta + O(\log \Delta)$ for the incidence coloring number of graphs with maximum degree Δ . For forests [3], Halin graphs of maximum degree $\Delta \geq 5$ and outerplanar graphs of maximum degree $\Delta \geq 4$ [14], certain

types of meshes [10], and complete k -partite graphs [12] the exact values of the incidence coloring numbers are known.

Upper bounds for the game chromatic number have been determined for forests [6], outerplanar graphs [7], graphs embeddable in an orientable surface [11], line graphs of k -degenerate graphs [4], line graphs of forests with maximum degree $\Delta \neq 4$ [4,5,1], and planar graphs [11,15].

When coloring incidences one should be aware of the unusual properties of the adjacency relation of incidences. As depicted in Fig. 2, both incidences of the edges incident with v (except vw) are adjacent to the incidence (v, vw) , whereas only one incidence of the edges incident with w is adjacent to the incidence (v, vw) , and the incidences of other edges (which are not depicted) are not adjacent to (v, vw) . Since the degree of v is $m = 4$ and the degree of w is $n = 6$, the number of incidences adjacent to (v, vw) is $2(m-1)+1+(n-1) = 12$ in our example. Therefore (v, vw) can be colored with a 13-th color.

A trivial upper bound for the incidence game chromatic number of graphs G_Δ with maximum degree $\Delta \geq 1$ is

$$\iota_g(G_\Delta) \leq 3\Delta - 1. \tag{1}$$

This is simply the maximum number of adjacent incidences an incidence can have plus one. Our main theorem improves this bound for k -degenerate graphs (Section 2.) The trivial upper bound is attained by sufficiently large cycles, as we shall see in Section 3. In Section 4 we determine the exact incidence game chromatic number of stars and wheels. When we use the ideas of these proofs we obtain a lower bound for the incidence game chromatic number of graphs with maximum degree Δ , a bound which is half of the trivial upper bound. This phenomenon also occurs for the game chromatic index, the trivial lower bound of which is half of its trivial upper bound.

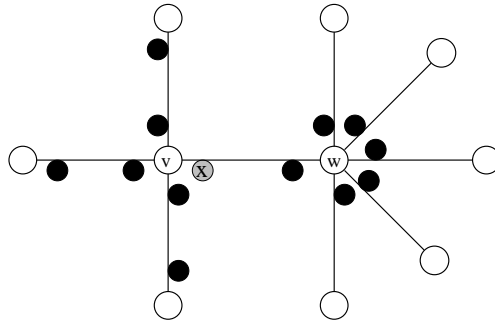


Fig. 2. The incidences adjacent to the incidence $x = (v, vw)$ are indicated by black dots and the incidence (v, vw) is depicted by a grey dot with 'x'. Here a black dot on an edge f next to a vertex z means the incidence (z, f) .

2 k -degenerate graphs

In this section we will consider the incidence game chromatic number of k -degenerate graphs. The proof uses an activation strategy. The idea of such an activation strategy was already used by Cai and Zhu [4] in order to bound the game chromatic index of k -degenerate graphs.

We start with a definition. A graph G with vertex set $\{v_1, v_2, \dots, v_n\}$ is *k-degenerate* if there is a linear order

$$L(v_1) < L(v_2) < \dots < L(v_n)$$

on its vertex set such that for every $1 \leq i \leq n$ the vertex v_i has degree at most k in the induced subgraph on the vertex set $\{v_1, v_2, \dots, v_i\}$. We will prove the following

Theorem 1 *For a k -degenerate graph G with maximum degree Δ we have*

- (a) $\iota_g(G) \leq 2\Delta + 4k - 2$.
- (b) $\iota_g(G) \leq 2\Delta + 3k - 1$, provided $\Delta \geq 5k - 1$.
- (c) $\iota_g(G) \leq \Delta + 8k - 2$, provided $\Delta \leq 5k - 1$.

PROOF. We will describe a winning strategy of Alice with $\max\{\Delta + 8k - 2, 2\Delta + 3k - 1\}$ colors. Before doing so we need some preparations. The vertex set $\{v_1, v_2, \dots, v_n\}$ of G is considered as ordered according to a linear order L , i.e.

$$L(v_1) < L(v_2) < \dots < L(v_n),$$

so that, for every $1 \leq i \leq n$, v_i has vertex degree at most k in the induced subgraph on $\{v_1, v_2, \dots, v_i\}$. Such an order exists, since G is k -degenerate. To every edge $v_i v_j$ is assigned an orientation in such a way that if $L(v_i) > L(v_j)$ then (v_i, v_j) is an arc (directed edge). We call the digraph created this way \vec{G} . Every time we color an incidence, we color it in both G and \vec{G} . Let $d^+(v)$ be the number of *out-arcs* (v, w) of v . By construction, $d^+(v) \leq k$ for every vertex v . A sink is a vertex v with $d^+(v) = 0$. The *level* of vertex v_i is the number i . The *level* of an arc is the level of its starting vertex.

During the game certain arcs are considered as *active*, all other arcs as *inactive*. A subset of the active arcs is represented by the *half-selected* resp. *selected* arcs, i.e. those arcs one incidence or both incidences of which are colored. By an *arc of minimum level* we mean an uncolored or half-selected arc the level of which is minimal among all such arcs. For an arc (v, w) , we call the

incidence $(v, (v, w))$ *top incidence* of (v, w) , and the incidence $(w, (v, w))$ is called *bottom incidence* of (v, w) . An arc is called *top-half-selected* if its top incidence is colored and its bottom incidence is uncolored.

At the beginning Alice colors a bottom incidence of an arc incident with a sink and activates its arc. After that, for each of Bob's moves, where Bob colors an incidence of an arc (v, w) , she answers as follows:

- (Step 0) $e = (v, w)$, activate e
- (Step 1) **while** w has inactive out-arcs **do**
 choose an inactive out-arc (w, w_1) , activate it,
 $w := w_1$
 end do
- (Step 2) **if** w has an unselected or top-half-selected active out-arc e ,
- (Step 2a) color the bottom incidence of e ,
- else if** w has an half-selected active out-arc e ,
- (Step 2b) color the uncolored incidence of e ,
- (Step 2c) **else** color an incidence of an arc e of minimum level, activate e
 (in Step 2c color a bottom incidence if possible)

We will show that for this strategy after every move of Alice every uncolored incidence of an unselected or half-selected arc (v, w) has at most $\max\{2\Delta + 3k - 3, \Delta + 8k - 4\}$ colored adjacent incidences. Note that, in Step 2c, no arc (x, v) can be (half-)selected before (v, w) by Alice as (v, w) lies on a lower level. Therefore arcs (x, v) can only pass through steps 1, 2a, and 2b before both incidences of (v, w) are colored. There are at most $\#v_{out} = k - 1$ arcs (v, y) different from (v, w) . So at most k arcs (x, v) can have been activated (Step 1) in order to activate arcs of the type (v, y) . After that at most $2k - 1$ arcs (x, v) can have been activated (Steps 1, 2a, 2b) in order to color $2k - 1$

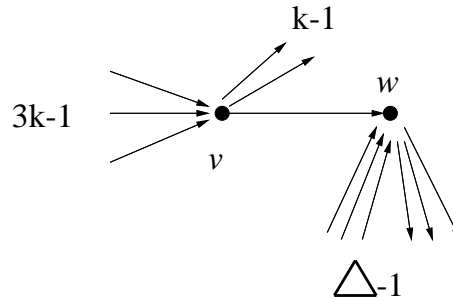


Fig. 3. Adjacent incidences of arc (v, w) which may be colored

of the $2k$ incidences of the arcs (v, y) . Thus there are at most $\#v_{in} = 3k - 1$ active arcs of the form (x, v) . In the worst case there are $\#w = \Delta - 1$ active arcs incident with w . See Fig. 3. So, in the worst case the incidence $(v, (v, w))$ has at most

$$\#w + 1 + 2\#v_{out} + 2\#v_{in} = \Delta + 8k - 4$$

adjacent incidences on active arcs, and the incidence $(w, (v, w))$ has at most

$$2\#w + 1 + \#v_{out} + \#v_{in} = 2\Delta + 4k - 3$$

adjacent incidences on active arcs after a move of Alice.

However, we need not count the number of adjacent incidences on active arcs, but only the number of *colored* adjacent incidences. The latter number is smaller than the previous since the set of selected and half-selected arcs is a subset of the set of active arcs, and since Alice, by her strategy, prefers to color bottom incidences. Therefore, when the incidence $(w, (v, w))$ is to be colored, the top incidence of any arc of type (v, y) is either uncolored or colored by Bob. If $\#v'_{out} = m$ top incidences of the arcs of type (v, y) (including (v, w)) are colored by Bob, then, by the same reasons as before, there are at most $\#v'_{in} = 3k - 1 - m$ active arcs of the form (x, v) . Thus the incidence $(w, (v, w))$ has at most

$$2\#w + \#v'_{out} + \#v'_{in} = 2\Delta + 3k - 3$$

colored adjacent incidences.

Summarizing, we state that after Alice's move an incidence can have at most

$$\max\{\Delta + 8k - 4, 2\Delta + 3k - 3\}$$

colored adjacent incidences. After Bob's next move an incidence can have at most

$$\max\{\Delta + 8k - 3, 2\Delta + 3k - 2\}$$

colored adjacent incidences. We obtain the conclusion that

$$\max\{\Delta + 8k - 2, 2\Delta + 3k - 1\}$$

colors are sufficient to color every incidence during the game.

Further we have $\Delta + 8k - 2 \leq 2\Delta + 3k - 1$ if, and only if, $\Delta \geq 5k - 1$, from which (b) and (c) follows. Note that, for $\Delta \geq 4k$, $\Delta + 8k - 2 \leq 2\Delta + 4k - 2$, and for $\Delta \leq 4k - 1$, the trivial upper bound $3\Delta - 1$ is better than the bound $b_0 = 2\Delta + 4k - 2$. Thus b_0 is an upper bound for all Δ , as stated in (a). \square

The game chromatic index of a k -degenerate graph of maximum degree Δ is at most $\Delta + 3k - 1$ [4]. Our bound is better than simply doubling this bound.

Corollary 2 *Let P be a planar graph with maximum degree Δ , O be an outerplanar graph with maximum degree Δ , and F be a forest with maximum degree Δ . Then*

$$\iota_g(P) \leq 2\Delta + 18, \quad \iota_g(O) \leq 2\Delta + 6, \quad \iota_g(F) \leq 2\Delta + 2$$

PROOF. This follows from the fact that planar graphs are 5-degenerate, outerplanar graphs are 2-degenerate, and forests are 1-degenerate. \square

The results of this section have a noncompetitive analogue: Hosseini Dolama et al. [9] found $\Delta + 2k - 1$ as an upper bound for the incidence coloring number of k -degenerate graphs and $\Delta + 7$ as an upper bound for the incidence coloring number of planar graphs of maximum degree Δ .

3 Cycles

In this section we consider the incidence game chromatic number of cycles. While the incidence game chromatic number of cycles attains the trivial upper bound (1), its counterparts for stars and wheels, in Section 4, will make us discover a trivial lower bound that cannot be improved for graphs in general. Let C_k be the cycle with k vertices.

Theorem 3 $\iota_g(C_k) = 5$ for $k \geq 7$.

PROOF. Let C_k be a cycle with $k \geq 7$ edges. Then $C_k^I = (V^I, E^I)$ is the graph with vertex set $V^I = \{v_1, v_2, \dots, v_{2k}\}$ and edge set

$$E^I = \{v_i v_j \mid i - j \equiv \pm 1, \pm 2 \pmod{2k}\}.$$

$\iota_g(C_k) \leq 5$ follows from the trivial upper bound. So, we only have to prove $\iota_g(C_k) = \chi_g(C_k^I) \geq 5$, i.e. we have to explain a winning strategy for Bob for

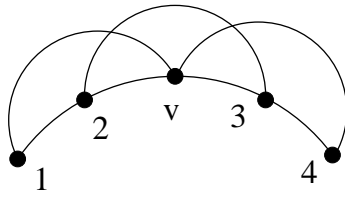


Fig. 4. A winning configuration for Bob on a cycle. If there are only 4 colors in the game, the uncolored vertex v cannot be colored any more.

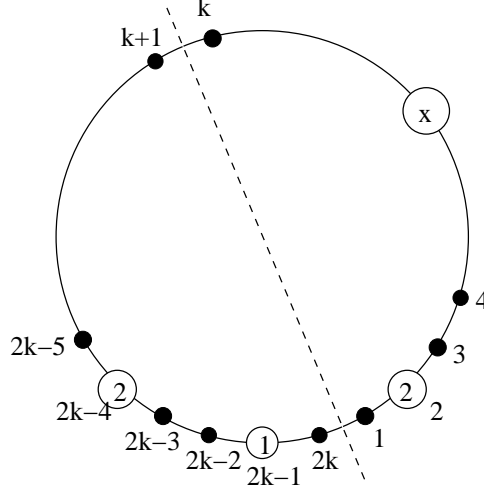


Fig. 5. State of the game after Bob's second move. Some vertices of C_k^I are black dots, colored vertices are white dots with the number of the color in it.

the vertex coloring game with 4 or less colors on C_k^I . It is easy to see that Bob wins with 3 or less colors. Assume for the following that the players have 4 colors at their disposal. The general winning idea of Bob is to construct a configuration as in Fig. 4.

W.l.o.g. Alice starts the game by coloring v_{2k-1} with color 1. Bob then colors a vertex at distance 3, namely v_2 with color 2. By reasons of symmetry we may assume that Alice, in her next move, colors one of the vertices v_3, \dots, v_k , and if she colors v_3 , then she uses color 1. Indeed if Alice colored v_{2k} or v_1 (with color 3), Bob would color v_3 or v_{2k-2} at distance 3 from Alice's vertex with color 4, so that v_1 or v_{2k} could not be colored any more. In the same way, if Alice colored v_3 with color 3, Bob would color v_{2k} with color 4, which would result in a win for him since he has constructed a winning configuration around the uncolored vertex v_1 . Since the vertex Alice has colored in her second move is far enough away from v_{2k-4} , Bob can color v_{2k-4} with color 2 in his second move. (Here we need the precondition $k \geq 7$, from which $(2k - 4) - k \geq 3$ follows.) The situation of the game after Bob's second move is depicted in Fig. 5.

Now, Alice is stuck. By the same reason as discussed above, Alice may not color v_{2k-5} or v_3 with a color different from 1. Furthermore, if she colors

$v_{2k-3}, v_{2k-2}, v_{2k}$ or v_1 , Bob can force a win in his next move. The next moves until Bob has created a winning configuration or until there are only 5 uncolored vertices left will be called a *round*. Everything Alice can do is to color the remaining of the vertices v_3, \dots, v_{2k-5} in the round. Bob will color only vertices v_4, \dots, v_{2k-6} in the round. Either Bob wins or the round ends when there are only 5 uncolored vertices left. Consider the latter case.

If Alice, in her last move, has colored v_3 with a color different from color 1, Bob can force a win as described above. Thus we may assume without loss of generality that v_3 is colored with color 1. We distinguish two cases.

The first case is that one of the vertices v_4, \dots, v_{2k-6} is uncolored or v_{2k-5} is uncolored but can be colored with color 1. Then Bob colors this vertex, preferably with color 1. Now the only uncolored vertices are $v_{2k-3}, v_{2k-2}, v_{2k}$, and v_1 . Alice colors one of these vertices with color 3 or 4. Then Bob colors the uncolored vertex at distance 3 with a different color, and one of the remaining uncolored vertices cannot be colored any more. (The colors 3 and 4 are allowed for the vertex Bob has colored since v_{2k-5} and v_3 are colored with color 1.)

In the second case v_{2k-5} is uncolored and cannot be colored with color 1. We may assume that v_{2k-5} can be colored with color 3. Then Bob colors v_{2k-2} with color 4. In order to avoid the situation that v_{2k-3} cannot be colored any more, Alice must either color v_{2k-3} with color 3 or v_{2k-5} with color 4. Then Bob colors v_1 with color 3, and wins since v_{2k} cannot be colored any more. His move is feasible as we have assumed that v_3 is colored with color 1. \square

The same argumentation does not work for paths with $k \geq 8$ edges. The reason is that in the incidence graph of a cycle, for reasons of symmetry, we can assume without loss of generality that Alice colors v_{2k-1} in her first move. However, in the incidence graph of a path we cannot assume that Alice starts coloring a vertex in the middle of a path. So we may formulate

Open question. Let P be a large path. Is $\iota_g(P) = 4$ or $\iota_g(P) = 5$?

4 Some simple classes of graphs

Let S_k be the star with k edges which are incident with the same center vertex. Further let W_k be the wheel with $2k$ edges. Let v_0 be the center vertex of a star or a wheel. We call an incidence (v_0, e) *inner incidence*, and an incidence (v, e) with $v \neq v_0$, but where v_0 is incident with e , *outer incidence*. Incidences on the rim of a wheel, which are neither inner nor outer incidences, are called *border incidences*. In a star or a wheel, inner incidences are adjacent to all inner and

outer incidences, but two different outer incidences are not adjacent.

Theorem 4 For $k \geq 1$, $\iota_g(S_{2k}) = 3k$.

PROOF. First, we describe a winning strategy for Alice playing on S_{2k} with $3k$ colors. At the beginning, Alice colors inner incidences. If Bob colors an outer incidence with color i , then Alice also colors an outer incidence with color i . In this way, Bob can use at most k colors for outer incidences. If Alice is forced to color an outer incidence (this is only the case when all inner incidences are colored), then she colors an outer incidence with a color already assigned to an outer incidence, except if there is no such color. In the latter case she chooses one of the remaining k colors and continues coloring the outer incidences with that color. Even if Bob always uses a new color for his outer incidences, in his last move he will be forced to take a color already used. In every case, $2k$ colors are used for inner incidences, and at most k colors for outer incidences, so Alice wins.

Now we discuss a winning strategy for Bob with $3k - 1$ or fewer colors. In his k first moves, Bob colors k outer incidences with k distinct colors. Then there are at most $2k - 1$ colors left to color the inner incidences. Thus it is impossible to color all of them, and Bob wins. \square

Theorem 5 For $k \geq 0$, $\iota_g(S_{2k+1}) = 3k + 2$.

PROOF. A winning strategy for Alice playing on S_{2k+1} with $3k + 2$ colors is given as follows. Alice, in her first $k + 1$ moves, colors outer incidences with a fixed color i . Meanwhile, Bob can use at most k distinct colors for outer incidences. So $2k + 1$ colors are left for the inner incidences, and Alice wins.

We still have to exhibit a winning strategy for Bob with $3k + 1$ or fewer colors. If Alice, in her first move, colors an inner incidence, Bob, in his first $k + 1$ moves, colors outer incidences with $k + 1$ distinct colors. On the other hand, if Alice, in her first move, colors an outer incidence with color i , then Bob, in his next k moves, colors outer incidences with k distinct colors different from i . In both cases, at most $2k$ colors are left for the inner incidences, which therefore cannot be colored any more, i.e. Bob wins. \square

Theorem 6 For $k \geq 7$, $\iota_g(W_{2k}) = 3k$.

PROOF. First, we describe a winning strategy for Alice with $3k$ colors which is very similar to the case of stars. Alice chooses 7 colors. During the game, if one of her chosen colors is used for an inner incidence, she exchanges that color with one of the colors not yet used for an inner incidence. This is possible

since there are $3k \geq 2k + 7$ colors. As long as Bob colors inner incidences and border incidences, Alice colors inner incidences (or, if she is forced to, border incidences). If Bob colors an outer incidence, Alice replies by coloring an outer incidence with one of her chosen 7 colors, preferably with the same color as Bob (if necessary, Alice exchanges a color not used before among her 7 colors with Bob's color.) There is always a feasible color among these because an outer incidence is affected by at most 4 border incidences. The same strategy will make Alice win if she is forced to color outer incidences first (at the end Bob can also use one of the 7 colors.) Note that border incidences are affected by at most 4 border incidences, at most 2 outer incidences, and one inner incidence. Thus a border incidence can always be colored by one of Alice's 7 colors.

The winning strategy for Bob with $3k - 1$ or fewer colors is just the same as for a star: Bob first colors outer incidences with k distinct colors, then he will win. \square

Theorem 7 For $k \geq 6$, $\iota_g(W_{2k+1}) = 3k + 2$.

PROOF. First, we prove a winning strategy for Alice with $3k+2$ colors. Alice chooses 7 colors. Every time one of these colors is used for inner incidences she exchanges this color with a color not used so far. This is possible since there are $3k + 2 \geq (2k + 1) + 7$ colors. In her first move, Alice colors an outer incidence with one of the chosen colors. As long as Bob colors inner or border incidences, Alice colors inner or border incidences as well. If Bob colors an outer incidence, she colors an outer incidence preferably with the same color (and in this case she exchanges this color with one of the seven colors which has not been used before if such a color exists), or else with one of the seven colors, preferably with a color already used. Playing this way Alice can guarantee that the final set of seven colors will be used for outer incidences and that the outer incidences are colored by at most $k + 1$ colors. Note that there is no problem coloring the border incidences with these $k + 1 \geq 7$ colors. So Alice wins.

A winning strategy for Bob with $3k + 1$ or fewer colors is the same as with stars. In his first $k + 1$ moves Bob can guarantee that $k + 1$ outer incidences are colored distinctly. Then he will win as the inner incidences cannot be colored any more. \square

Stars and wheels are classes of graphs for which the incidence game chromatic number is asymptotically half of the value of the trivial upper bound. There is no class of graphs where this fraction is lower because of the following proposition.

Proposition 8 For any graph G with maximum degree Δ , $\iota_g(G) > \frac{3\Delta-1}{2}$

PROOF. A winning strategy for Bob with $\lceil \frac{3\Delta}{2} \rceil - 1$ or fewer colors is given as follows. Bob chooses a vertex v of degree Δ . An *outer incidence* of v is an incidence (w, e) such that $e = vw$. In his first $\lceil \frac{\Delta}{2} \rceil$ moves, Bob (possibly with Alice's help) colors $\lceil \frac{\Delta}{2} \rceil$ outer incidences of v with $\lceil \frac{\Delta}{2} \rceil$ distinct colors. Then there are only less than Δ colors left for the incidences of type (v, e) . Thus Bob wins. \square

We have the analogon between the lower and upper bounds for the incidence game chromatic number

$$\frac{3\Delta - 1}{2} < \iota_g(G_\Delta) \leq 3\Delta - 1 \quad (2)$$

and the trivial lower and upper bounds for the game chromatic index

$$\frac{2\Delta - 1}{2} < \chi'_g(G_\Delta) \leq 2\Delta - 1. \quad (3)$$

The lower bounds are tight for every Δ in the sense that no positive integer may be added to them.

Open question. For fixed $\Delta > 2$, are the upper bounds in (2) and (3) tight?

Open question. Is the incidence game chromatic number a monotonic parameter (i.e. for a graph G is it as least as big as for any subgraph of G)?

The game chromatic number is known to be non-monotonic (consider $K_{m,m}$ and its subgraph where a perfect matching is deleted). The game chromatic index, too, is non-monotonic (consider C_6 together with an isolated edge, and its subgraph P_6). Hence, it would be no surprise if the answer of the last question was not affirmative.

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