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The Star Dichromatic Number

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We introduce a new notion of circular colourings for digraphs. The idea of this quantity, called *star dichromatic number* $\chi^*(D)$ of a digraph D , is to allow a finer subdivision of digraphs with the same dichromatic number into such which are “easier” or “harder” to colour by allowing fractional values. This is related to a coherent notion for the vertex arboricity of graphs introduced in [WZLW11] and resembles the concept of the *star chromatic number* of graphs introduced by Vince in [Vin88] in the framework of digraph colouring. After presenting basic properties of the new quantity, including range, simple classes of digraphs, general inequalities and its relation to integer counterparts as well as other concepts of fractional colouring, we compare our notion with the notion of circular colourings for digraphs introduced in [BFJ⁺04] and point out similarities as well as differences in certain situations. As it turns out, the star dichromatic number is a lower bound for the circular dichromatic number of Bokal et al., but the gap between the numbers may be arbitrarily close to 1. We conclude with a discussion of the case of planar digraphs and point out some open problems.

1 Introduction

Fractional colourings of graphs were introduced by Vince in [Vin88], where the concept of the *star chromatic number*, nowadays also known as the *circular chromatic number* of a graph, made its first appearance. The original definition of Vince is based on so-called (k, d) -colourings, where colours at adjacent vertices are not only required to be distinct as usual but moreover ‘far apart’ in the following sense: For every $k \in \mathbb{N}$ and elements $x, y \in \{0, \dots, k - 1\}$, let $\text{dist}_k(x, y) := |(x - y) \bmod k|_k$, where $|a|_k := \min\{|a|, |k - a|\}$, for all $a = 0, \dots, k - 1$, denote the *circular k -distance between x and y* . Then we define:

Definition 1 (cf. [Vin88]). Let G be a graph and $(k, d) \in \mathbb{N}^2, k \geq d$. A (k, d) -colouring of G is an assignment $c : V(G) \rightarrow \{0, \dots, k - 1\} \simeq \mathbb{Z}_k$ of colours to the vertices so that $\text{dist}_k(c(u), c(w)) \geq d$ whenever u, w are adjacent.

Fixing a graph G , Vince furthermore considered the smallest possible value of $\frac{k}{d}$ where (k, d) allows a legal colouring of G as a fractional measure of the “colourability” of G .

Definition 2. Let G be a graph. The quantity

$$\chi^*(G) := \inf \left\{ \frac{k}{d} \mid \exists (k, d)\text{-colouring of } G \right\} \in \mathbb{R}_+$$

is called the *star chromatic number* resp. *circular chromatic number* of G .

The following theorem captures the most important elementary properties of the star chromatic number.

Theorem 1 (cf. [Vin88]) *Let G be a graph. Then the following holds:*

- (i) *If G is loopless, then $\chi^*(G)$ is a positive rational number (otherwise $\chi^*(G) = \infty$), and $\chi^*(G) \geq 2$ whenever $E(G) \neq \emptyset$ (otherwise $\chi^*(G) = 1$).*
- (ii) *$\lceil \chi^*(G) \rceil = \chi(G)$, i.e., $\chi^*(G) \in (\chi(G) - 1, \chi(G)]$.*
- (iii) *For each rational number $q \in \mathbb{Q}$, $q = \frac{m}{n} \geq 2$, there is a graph $G_{m,n}$ with $\chi^*(G_{m,n}) = \frac{m}{n} = q$.*
- (iv) *For every $k, d \in \mathbb{N}$, there exists a (k, d) -colouring of G if and only if $\frac{k}{d} \geq \chi^*(G)$.*
- (v) *If $\chi^*(G) = \frac{m}{n}$, then there is a (k, d) -colouring of G with $\frac{k}{d} = \frac{m}{n}$ and $k \leq |V(G)|$.*

For further details concerning circular chromatic numbers of graphs we refer to the survey article [Zhu01].

A first definition of circular colourings for digraphs was given by Bokal et al. in [BFJ⁺04], leading to the notion of the circular dichromatic number of digraphs and graphs. Instead of (k, d) -pairs as in the case of Vince, they, equivalently, use real numbers for their definition: Given a $p \geq 1$, consider a plane-circle S_p of perimeter p and define a *strong circular p -colouring* of D to be an assignment $c : V(D) \rightarrow S_p$ of (colouring) points on S_p to the vertices, in such a way that for every edge $e = (u, w)$ in D , the one-sided distance of $c(u), c(w)$ (i.e., the length of a clockwise arc connecting u to w in S_p) is at least 1. More formally, we can identify S_p with the set $\mathbb{R}/p\mathbb{Z}$ and require that the unique representative of $c(w) - c(u) \in \mathbb{R}/p\mathbb{Z}$ in the interval $[0, p)$, denoted by $(c(w) - c(u)) \bmod p$ is at least one. In this representation, the clockwise direction on S_p is identified with the positive direction in $\mathbb{R}/p\mathbb{Z}$. Since the notion of a strong circular p -colouring turns out to be much less flexible, the authors also define so-called *weak circular p -colourings* of D , $p \in [1, \infty)$, as maps $c : V(D) \rightarrow S_p$, such that equal colours at both ends of an edge, i.e., $c(u) = c(w)$ where $e = (u, w) \in E(D)$, are allowed, but at the same time, the one-sided distance of $c(u), c(w)$ on S_p is at least 1 whenever they are distinct. Moreover, each so-called colour class, i.e., $c^{-1}(t), t \in S_p$ has to induce an acyclic subdigraph of D . This seems much more intuitive and closer to the definition of legal digraph colourings.

The *circular dichromatic number* $\vec{\chi}_c(D)$ now is defined as the infimum over all real values $p \geq 1$ for which D admits a strong circular p -colouring, or, equivalently (as shown in their paper), as the infimum over all values $p \geq 1$ providing weak circular p -colourings of D . Moreover, in the case of weak circular p -colourings the infimum is always attained.

Proposition 1 ([BFJ⁺04]) *Let D be a digraph. The real value*

$$\begin{aligned} \vec{\chi}_c(D) &:= \inf\{p \geq 1 \mid \exists \text{ weak circular } p\text{-colouring of } D\} \\ &= \inf\{p \geq 1 \mid \exists \text{ strong circular } p\text{-colouring of } D\} \end{aligned}$$

is called the circular dichromatic number of D . Furthermore, every digraph admits a weak circular $\vec{\chi}_c(D)$ -colouring. If G is a graph and $\mathcal{O}(G)$ the set of its orientations, then we define the maximum

$$\vec{\chi}_c(G) := \max_{D \in \mathcal{O}(G)} \vec{\chi}_c(D)$$

to be the circular dichromatic number of the graph G .

The following sums up the most basic properties of this quantity:

Theorem 2 ([BFJ⁺04]) *Let D be a loopless digraph. Then the following holds:*

- (i) $\vec{\chi}_c(D) \geq 1$ is a rational number with numerator at most $|V(D)|$.
- (ii) $\lceil \vec{\chi}_c(D) \rceil = \vec{\chi}(D)$, i.e., $\vec{\chi}_c(D) \in (\vec{\chi}(D) - 1, \vec{\chi}(D)]$.
- (iii) $\vec{\chi}_c(\cdot)$ attains exactly the rational numbers $q \in \mathbb{Q}$, $q \geq 1$.

It was furthermore pointed out in [Ste18] that the following discrete notion of *circular (k, d) -colourings* corresponds to the above notion of weak circular p -colourings:

Definition 3. Let D be a digraph and $k \geq d$ natural numbers. A *circular (k, d) -colouring* is a vertex-colouring $c : V(D) \rightarrow \{0, \dots, k-1\} \simeq \mathbb{Z}_k$ such that $(c(w) - c(u)) \bmod k \geq d$ or $c(u) = c(w)$ for all $e = (u, w) \in E(D)$ and each colour class $c^{-1}(i)$, $i \in \mathbb{Z}_k$ induces an acyclic subdigraph of D .

Proposition 2 ([Ste18]) *For every digraph D and every $p \geq 1$, there exists a weak circular p -colouring of D if and only if there is a circular (k, d) -colouring of D for every pair $(k, d) \in \mathbb{N}^2$ with $\frac{k}{d} \geq p$. Thus,*

$$\vec{\chi}_c(D) = \inf \left\{ \frac{k}{d} \mid \exists \text{ circular } (k, d)\text{-colouring of } D \right\}.$$

2 The Star Dichromatic Number, General Properties

In this section we introduce a new concept of fractional digraph colouring.

Definition 4. Let D be a digraph, $(k, d) \in \mathbb{N}^2$, $k \geq d$. An *acyclic (k, d) -colouring* of D is an assignment $c : V(D) \rightarrow \mathbb{Z}_k$ of colours to the vertices such that for every $i \in \mathbb{Z}_k$, the pre-image of the cyclic interval $A_i := \{i, i+1, \dots, i+d-1\} \subseteq \mathbb{Z}_k$ of colours, $c^{-1}(A_i) \subseteq V(D)$, induces an acyclic subdigraph of D .

It will be handy to also have an equivalent formulation allowing real numbers ready, which deals with the circles S_p , $p \geq 1$:

Definition 5. Let $p \in \mathbb{R}$, $p \geq 1$. For $a, b \in [0, p)$, we denote by $(a, b)_p$ the open ‘‘interval’’ $(a, b)_p := \{y \in [0, p) \mid 0 < (y - a) \bmod p < (b - a) \bmod p\}$. Analogous definitions apply for $[a, b)_p$, $[a, b]_p$, $(a, b]_p$. In each case, we call $(b - a) \bmod p$ the *length* of the respective interval. For each $x \in S_p$, denote by $|x|_p := \min\{x, p - x\}$ its two-sided distance to 0.

Definition 6. Let D be a digraph and $p \geq 1$. An *acyclic p -colouring* of D is an assignment $c : V(D) \rightarrow [0, p) \simeq \mathbb{R}/p\mathbb{Z}$ of “colours” to the vertices, such that for every open interval $I = (a, b)_p$ of length 1 within $[0, p) \simeq \mathbb{R}/p\mathbb{Z}$, the subdigraph induced by the vertices in $c^{-1}(I)$ is acyclic. The *star dichromatic number* of D now is defined as the infimum over the numbers p for which D admits an acyclic p -colouring:

$$\vec{\chi}^*(D) = \inf\{p \geq 1 \mid \exists \text{acyclic } p\text{-colouring of } D\}.$$

The following ensures that there always exists a $\vec{\chi}^*(D)$ -colouring of a digraph D :

Proposition 3 Let $P := \{p \geq 1 \mid \exists \text{acyclic } p\text{-colouring of } D\} \subseteq [1, \infty)$. Then P is closed. If D is loopless then it admits a $\vec{\chi}^*(D)$ -colouring.

Proof. Since P is bounded from below, the latter claim is a consequence of the former. Let $(p_n)_{n \in \mathbb{N}}$ be a sequence of elements of P convergent to some $p \geq 1$. We have to show that $p \in P$. Clearly, we may assume $p_n > p$ for all $n \in \mathbb{N}$. For given n let $c'_n : V(D) \rightarrow [0, p_n)$ denote a feasible p_n -colouring of D . Scaling by $\frac{p}{p_n}$ we derive maps $c_n : V(D) \rightarrow [0, p)$, $x \mapsto \frac{p}{p_n} c'_n(x)$ with the property that for every open interval $I \subseteq \mathbb{R}/p\mathbb{Z}$ of length at most $\frac{p}{p_n}$ there is no directed cycle in the digraph induced by $c_n^{-1}(I)$. We may consider $(c_n)_{n \in \mathbb{N}}$ as a sequence of vectors in $S_p^{|V(D)|}$. Applying the Theorem of Heine-Borel to $(c_n)_{n \in \mathbb{N}}$ yields a convergent subsequence $(c_{n_l})_{l \in \mathbb{N}}$. Let $c := \lim_{l \rightarrow \infty} c_{n_l}$. Then $c : V(D) \rightarrow [0, p)$. We claim that c defines an acyclic p -colouring of D .

Assume to the contrary there was a directed cycle C in D such that $c(V(C))$ is contained in an open interval $I = (a, b)_p \subseteq S_p \simeq [0, p)$ of length 1. Since $c(V(C))$ is finite, there exists $0 < \varepsilon < \frac{1}{2}$ such that $c(V(C)) \subseteq (a + \varepsilon, b - \varepsilon)_p \subseteq (a, b)_p$. Since D is finite, $(c_{n_l})_{l \in \mathbb{N}}$ is a sequence convergent in $S_p^{|V(D)|}$ and $\lim_{n \rightarrow \infty} p_n = p$, we may choose $N \in \mathbb{N}$ such that $|c_N(x) - c(x)| < \frac{\varepsilon}{2}$ for all $x \in V(D)$ and $p_N < \frac{p}{1 - \varepsilon}$. Now, $c_N(V(C)) \subseteq (a + \frac{\varepsilon}{2}, b - \frac{\varepsilon}{2}) \bmod p$. Hence, we have found a directed cycle in the inverse image of an open interval of length $1 - \varepsilon < \frac{p}{p_N}$, contradicting the properties of c_N .

For loopless digraphs, $P \neq \emptyset$ and thus P is closed and bounded from below, which implies that it admits a minimum. \square

The following equivalence now makes the relation between the discrete notion and the real-number-notion of acyclic colourings of digraphs precise:

Proposition 4 Let D be a digraph. Then for every real number $p \geq 1$, D admits an acyclic p -colouring if and only if it admits an acyclic (k, d) -colouring for every $(k, d) \in \mathbb{N}^2$ fulfilling $\frac{k}{d} \geq p$. Consequently,

$$\vec{\chi}^*(D) = \inf \left\{ \frac{k}{d} \mid \exists \text{acyclic } (k, d)\text{-colouring of } D \right\}.$$

Proof. Assume for the first implication there was an acyclic p -colouring $c : V(D) \rightarrow [0, p)$ of D and let $(k, d) \in \mathbb{N}^2$ with $\frac{k}{d} \geq p$ be arbitrary. Define a colouring $c_{k,d}$ of the vertices by

$$\forall x \in V(D) : c_{k,d}(x) = \left\lfloor \frac{k}{p} c(x) \right\rfloor \in \{0, \dots, k-1\}.$$

We claim that this defines an acyclic (k, d) -colouring of D : Assume to the contrary there was a directed cycle C within $c_{k,d}^{-1}(A_i)$ for some $i \in \{0, \dots, k-1\} \simeq \mathbb{Z}_k$. Then for all $x \in V(C)$,

$$\left(\left\lfloor \frac{k}{p} c(x) \right\rfloor - i \right) \bmod k \leq d - 1 \Rightarrow \left(\frac{k}{p} c(x) - i \right) \bmod k < d.$$

Consequently, $(c(x) - \frac{ip}{k}) \bmod p = \frac{p}{k}((\frac{k}{p}c(x) - i) \bmod k) < \frac{p}{k/d} \leq 1$. Hence, $c(V(C)) \subseteq (\frac{ip}{k}, \frac{ip}{k} + 1)_p$, contradicting the definition of an acyclic colouring.

For the reverse implication, assume that $p \geq 1$ such that for every pair $(k, d) \in \mathbb{N}^2$ with $\frac{k}{d} \geq p$, there is an acyclic (k, d) -colouring $c_{(k,d)} : V(D) \rightarrow \{0, \dots, k-1\}$ of D . Let $((k_n, d_n))_{n \in \mathbb{N}}$ be some sequence in \mathbb{N}^2 such that $p_n := \frac{k_n}{d_n} \geq p$ for all $n \in \mathbb{N}$, and $\lim_{n \rightarrow \infty} \frac{k_n}{d_n} = p$. Let $c_n = c_{(k_n, d_n)} : V(D) \rightarrow \{0, \dots, k_n-1\}$ denote corresponding acyclic (k_n, d_n) -colourings of D . We define $c_{p_n} : V(D) \rightarrow [0, p_n)$ by

$$x \mapsto \frac{p_n}{k_n} c_n(x) \in [0, p_n).$$

We claim that for every n this defines an acyclic p_n -colouring. Assume to the contrary there was a cyclic open subinterval $(a, b)_{p_n} \subseteq [0, p_n)$ of length 1 containing the colours of a directed cycle C in D , then for every $x \in V(C)$, we would have

$$0 < \left(\frac{p_n}{k_n} c_n(x) - a \right) \bmod p_n < 1 \Leftrightarrow 0 < (c_n(x) - d_n a) \bmod k_n < \frac{k_n}{p_n} = d_n$$

and thus, with $i := \lceil d_n a \rceil \bmod k_n$, we get $0 \leq (c_n(x) - i) \bmod k_n \leq d_n - 1$ for all $x \in V(C)$, implying $c_n(V(C)) \subseteq A_i$. This contradicts c_n being an acyclic (k_n, d_n) -colouring and shows that indeed, $p_n \in P$, $n \geq 1$, where again, P denotes the set of $p \geq 1$ allowing an acyclic colouring of D . Since P is closed (Proposition 3), we finally deduce that $p = \lim_{n \rightarrow \infty} p_n \in P$, and thus the claimed equivalence follows. \square

Although theoretically, the definition of $\vec{\chi}^*(D)$ as the infimum of the set P of real numbers might include irrational values of $\vec{\chi}^*(D)$, the following statement shows that due to the conditions on acyclic p -colourings which are given in terms of a finite object, namely D , $\vec{\chi}^*(D)$ only attains rational numbers with a certain bound on the numerator. Analogous statements hold for other notions of circular colourings.

Theorem 3 *Let D be a digraph, $n = |V(D)|$. Then $\vec{\chi}^*(D)$ is a rational number of the form $\frac{k}{d}$ with $1 \leq d \leq k \leq n$.*

Proof. Our proof follows the lines of the one given for the same result for $\vec{\chi}_c(D)$ in [BFJ⁺04] resp. [Moh03].

Let in the following $p := \vec{\chi}^*(D)$. We may assume $p > 1$. For a given acyclic p -colouring $c : V(D) \rightarrow S_p \simeq [0, p)$ of D we consider the digraph $D_1(c)$, defined over the vertex set $V(D)$ where $(u, w) \in E(D_1(c))$ if and only if $(c(w) - c(u)) \bmod p = 1$. Let $v_0 \in V(D)$ be a fixed reference vertex, we may assume that $c(v_0) = 0$. We will show that we can choose c such that for every vertex $v \in V(D)$, there is a directed path from v_0 to v in $D_1(c)$. For this purpose, let c be an acyclic p -colouring maximal with respect to the cardinality of the set $S(c)$ of vertices reachable from v_0 via directed paths in $D_1(c)$. Assume for a contradiction that $S(c) \neq V(D)$. For $s \in [0, p)$, we define

$$c_s(v) := \begin{cases} c(v), & \text{if } v \in S(c) \\ (c(v) - s) \bmod p & \text{if } v \notin S(c). \end{cases}$$

Note that for each $s \in [0, p)$ so that c_s is an acyclic p -colouring, we have $S(c_s) \supseteq S(c)$, and due to the maximality of c , $S(c_s) = S(c)$. Now, choose s^* maximal with the property, that for all

$s < s^*$ c_s is an acyclic p -colouring. The assumption $S(c) \neq V(D)$ now implies that $0 < s^* < p$ and $c_{s^*+\varepsilon}$ is not an acyclic p -colouring for arbitrarily small values of $\varepsilon > 0$. Therefore, there must exist a closed interval $[a, b]_p \subseteq S_p$ of length 1 such that $c_{s^*}^{-1}([a, b]_p)$ contains the vertices of a directed cycle C and such that there are $u, w \in V(C)$ with $c_{s^*}(u) = a$, $c_{s^*}(w) = b$ and $u \in S(c)$, $w \notin S(c)$. But this implies that $S(c) \cup \{w\} \subseteq S(c_{s^*})$ contradicting the choice of c .

We now consider the case that there exists a vertex $v \in V(D) \setminus \{v_0\}$ and two directed v_0 - v -walks P_1 and P_2 of lengths $\ell(P_1) > \ell(P_2)$ that visit at most one vertex (possibly v) twice. This includes the case, that there exists a directed cycle in $D_1(c)$. Since $c(v) = \ell(P_1) \bmod p = \ell(P_2) \bmod p$ there exists some $m \in \mathbb{N}$ such that $mp = \ell(P_1) - \ell(P_2)$. But clearly $0 < \ell(P_1) - \ell(P_2) < n$ and hence $p = \frac{\ell(P_1) - \ell(P_2)}{m}$ as required.

Thus we may assume, that for all vertices in $v \in V(D)$ all directed v_0 - v paths have the same length, defining a map $f : V \rightarrow \mathbb{N}$, $v \mapsto \ell(P_v)$ and $f(v) \bmod p = c(v)$ for all $v \in V(D)$. We will show that this contradicts the minimality of p . For that purpose choose $\delta > 0$ such that $p - \delta > 1$ and for each pair $u, w \in V(D)$ of vertices with $(f(w) - f(u)) \bmod p > 1$, we have $(f(w) - f(u)) \bmod (p - \delta) > 1$. We claim that $x \mapsto c_{-\delta}(x) := f(x) \bmod (p - \delta)$ defines an acyclic $(p - \delta)$ -colouring of D . Assume to the contrary there was a directed cycle C in D such that its image under $c_{-\delta}$ is contained in a closed interval $[c_{-\delta}(u), c_{-\delta}(w)]_{p-\delta} \supseteq c_{-\delta}(V(C))$ of length < 1 , where $u, w \in V(C)$. Let in the following $x \in V(C)$ be arbitrary. Then $(c_{-\delta}(x) - c_{-\delta}(u)) \bmod (p - \delta) = (f(x) - f(u)) \bmod (p - \delta) < 1$ and thus $(u, x) \notin E(D_1(c))$ and $(f(x) - f(u)) \bmod p = (c(x) - c(u)) \bmod p \leq 1$. We conclude, $(c(x) - c(u)) \bmod p < 1$ and there exists $\varepsilon > 0$ such that $(c(x) - c(u)) \bmod p < 1 - \varepsilon$ for all $x \in V(C)$, contradicting c being an acyclic p -colouring.

The claim follows. \square

Corollary 1 For a digraph D we have $\bar{\chi}^*(D) \geq 1$ with equality if and only if D is acyclic.

Proof. The inequality holds by definition. $\bar{\chi}^*(D) = 1$ implies the existence of an acyclic 1-colouring of D , and thus, since $V(D)$ is finite, that D is acyclic. \square

The following describes the relationship of $\bar{\chi}^*(D)$ with its integer counterpart.

Theorem 4 Let D be a digraph. Then $\lceil \bar{\chi}^*(D) \rceil = \bar{\chi}(D)$, i.e., $\bar{\chi}(D) - 1 < \bar{\chi}^*(D) \leq \bar{\chi}(D)$.

Proof. The latter inequality is an immediate consequence from Proposition 4 and the fact that the acyclic $(k, 1)$ -colourings of D correspond exactly to legal k -digraph colourings of D in the usual sense, for $k \in \mathbb{N}$. On the other hand let $p := \bar{\chi}^*(D)$, $k := \lceil p \rceil \in \mathbb{N}$ and let $c : V(D) \rightarrow S_p$ denote an acyclic p -colouring of D . Since $p \leq k$ and $V(D)$ is finite, we find k pairwise disjoint cyclic subintervals I_1, \dots, I_k , each of length less than 1 such that all $v \in V(D)$ are mapped to the interior of one of these. Thus $c^{-1}(I_i)$, $i = 1, \dots, k$ induces an acyclic subdigraph of D , this way defining a k -digraph colouring of D , proving $k \geq \bar{\chi}(D)$. \square

3 Relations to Other Fractional Digraph Colouring Parameters

We briefly review the notions of the fractional chromatic numbers of graphs and digraphs in order to draw a comparison with our new fractional colouring number. The fractional dichromatic number will be a main tool for deriving lower bounds on star dichromatic numbers.

Definition 7 (cf. [SU13] and [MW16]).

- (A) Let G be a graph. Denote by $\mathcal{I}(G)$ the collection of independent vertex subsets of G , and for each $v \in V(D)$, let $\mathcal{I}(G, v) \subseteq \mathcal{I}(G)$ be the subset containing only those sets including v . The *fractional chromatic number* $\chi_f(G)$ of D is now defined as the value of the following linear program

$$\begin{aligned} & \min \sum_{I \in \mathcal{I}(G)} x_I & (1) \\ \text{subj. to} & \sum_{I \in \mathcal{I}(G, v)} x_I \geq 1, \text{ for all } v \in V(G) \\ & x \geq 0. \end{aligned}$$

- (B) Let D be a digraph. Denote by $\mathcal{A}(D)$ the collection of vertex subsets of D inducing an acyclic subdigraph, and for each $v \in V(D)$, let $\mathcal{A}(D, v) \subseteq \mathcal{A}(D)$ be the subset containing only those sets including v . The *fractional dichromatic number* $\vec{\chi}_f(D)$ of D is now defined as the value of

$$\begin{aligned} & \min \sum_{A \in \mathcal{A}(D)} x_A & (2) \\ \text{subj. to} & \sum_{A \in \mathcal{A}(D, v)} x_A \geq 1, \text{ for all } v \in V(D) \\ & x \geq 0. \end{aligned}$$

For a graph G , we define $\vec{\chi}_f(G) := \max_{\mathcal{O}(G) \text{ orient.}} \vec{\chi}_f(\mathcal{O}(G))$ to be its *fractional dichromatic number*.

The following inequality chain finally describes the behaviour of the three notions of fractional digraph colouring numbers introduced so far in general and shows that the star dichromatic number separates the fractional from the circular chromatic number.

Theorem 5 *Let D be a digraph. Then $\vec{\chi}_f(D) \leq \vec{\chi}^*(D) \leq \vec{\chi}_c(D)$.*

Proof. Let $\vec{\chi}^*(D) = \frac{k}{d}$ and $c_k : V(D) \rightarrow \mathbb{Z}_k$ be an acyclic (k, d) -colouring for two integers $0 < d \leq k$. Given $A \in \mathcal{A}(D)$ let $i_A := |\{i \in \mathbb{Z}_k \mid A = c_k^{-1}(\{i, \dots, i + d - 1\})\}|$ and define $x_A = \frac{i_A}{d}$. Then for every vertex $v \in V(D)$, we have

$$\sum_{A \in \mathcal{A}(D, v)} x_A = \sum_{i \in \mathbb{Z}_k : c_k(v) \in \{i, \dots, i + d - 1\}} \frac{1}{d} = 1$$

Hence, x is feasible for the above linear program implying $\vec{\chi}_f(D) \leq \sum_{i \in \mathbb{Z}_k} \frac{1}{d} = \frac{k}{d} = \vec{\chi}^*(D)$.

For the second inequality, it suffices to show that for every $p \geq 1$, any weak circular p -colouring $c : V(D) \rightarrow [0, p)$ in the sense of Bokal et al. is also an acyclic p -colouring of D . Assume to the contrary there was a directed cycle C in D such that $c(V(C))$ is contained in an open subinterval of length 1 in $S_p \simeq [0, p)$. We may assume $c(V(C)) \subseteq (0, 1)_p$. Then obviously, $0 < (c(w) - c(u)) \bmod p < 1$ for every edge $(u, w) \in E(C)$ with $c(w) > c(u)$, contradicting the definition of weak circular colourings. Thus $c(C)$ consists of a single point $\{t\} \subseteq S_p$, which means that $c^{-1}(t)$ is not acyclic, a contradiction. Hence c is a weak colouring and the claim follows. \square

It is well-known that for symmetric orientations of graphs the chromatic number of the original graph equals their dichromatic number. Similar relations hold for fractional, star and circular dichromatic number.

Remark 1 Let G be an undirected graph, and denote by $S(G)$ its symmetric orientation where every undirected edge in $E(G)$ is replaced by an anti-parallel pair of arcs. Then

$$\vec{\chi}_f(S(G)) = \chi_f(G), \vec{\chi}^*(S(G)) = \vec{\chi}_c(S(G)) = \chi^*(G).$$

Proof. The first equality follows from the fact that the vertex subsets in $S(G)$ inducing acyclic subdigraphs are exactly the independent vertex sets in G . Furthermore, since every parallel replacement pair of arcs gives rise to a directed 2-cycle, weak circular p -colourings as well as p -colourings according to our definition of $S(G)$, for every $p \geq 1$, are exactly those maps $c : V(G) \rightarrow [0, p]$ with $\text{dist}_p(c(u), c(w)) \geq 1$ for every adjacent pair of vertices u, w , implying the latter two equalities. \square

As we will see in the next section, when dealing with planar digraphs, finding digraphs without large acyclic vertex subsets yields good lower bounds for the fractional and thus also the star dichromatic number. This is made precise by the following inequality.

Lemma 1 Let D be a digraph and denote by $\vec{\alpha}(D)$ the maximum size of a vertex subset of D inducing an acyclic subdigraph. Then $\vec{\chi}_f(D) \geq \frac{|V(D)|}{\vec{\alpha}(D)}$.

Proof. Consider the dual of the linear program (2),

$$\begin{aligned} & \max \sum_{v \in V} y_v & (3) \\ \text{subj. to } & \sum_{v \in A} y_v \leq 1, & \text{for all } A \in \mathcal{A}(\vec{C}(k, d)) \\ & y \geq 0. \end{aligned}$$

Define $y_v := \frac{1}{\vec{\alpha}(D)}$ for each vertex $v \in V$. The y clearly is feasible for (3) and the result follows by linear programming duality. \square

We now finally present a construction of digraphs (which are part of the class of so-called *circulant digraphs*) whose star dichromatic numbers attain every rational number $q \geq 1$. The same digraphs were used in [BFJ⁺04].

Theorem 6 Let $(k, d) \in \mathbb{N}^2$ with $k \geq d$. Denote by $\vec{C}(k, d)$ the digraph defined over the vertex set $V(\vec{C}(k, d)) := \{0, \dots, k-1\} \simeq \mathbb{Z}_k$ so that each vertex $i \in \mathbb{Z}_k$ has exactly $k-d$ outgoing arcs, namely $(i, j), j = i+d, i+d+1, \dots, i+k-1$. Then

$$\vec{\chi}_f(D) = \vec{\chi}^*(D) = \vec{\chi}_c(D) = \frac{k}{d}.$$

Therefore, $\vec{\chi}^*(D)$ attains every rational number $q \geq 1$.

Proof. According to Theorem 5 it suffices to show that $\frac{k}{d} \leq \vec{\chi}_f(\vec{C}(k, d))$ and $\vec{\chi}_c(\vec{C}(k, d)) \leq \frac{k}{d}$.

Let $A \in \mathcal{A}(\vec{C}(k, d))$, then $\vec{C}(k, d)[A]$, being acyclic contains a sink $a \in A \subseteq \mathbb{Z}_k$ and $A \cap \{a+d, \dots, a+k-1\} = \emptyset$, proving $|A| \leq d$ and the first inequality follows using Lemma 1.

For the other inequality, note that $c_{k,d}(i) := \frac{i}{d} \in [0, \frac{k}{d}]$ for all $i \in V(\vec{C}(k, d))$ defines a strong $\frac{k}{d}$ -colouring $c_{k,d}$ of D . \square

Putting $k = n, d = n - 1$ in the above, we immediately get the following.

Corollary 2 For every $n \in \mathbb{N}$,

$$\vec{\chi}_f(\vec{C}_n) = \vec{\chi}^*(\vec{C}_n) = \vec{\chi}_c(\vec{C}_n) = \frac{n}{n-1}.$$

While the above provides examples for digraphs where the three different concepts of fractional digraph colouring coincide, we now focus on constructing examples of digraphs where the numbers vary significantly in order to point out differences of the approaches.

First of all, it is well-known that contrary to the star chromatic number, the fractional chromatic number of a graph does not fulfil a ceiling-property, but can be arbitrarily far apart from the chromatic number of the graph. As a consequence we conclude that circular and star dichromatic number can be arbitrarily far apart of the fractional dichromatic number:

Theorem 7 For every $C \in \mathbb{R}_+$, there is a digraph D with $\vec{\chi}^*(D) - \vec{\chi}_f(D) = \vec{\chi}_c(D) - \vec{\chi}_f(D) \geq C$.

Proof. By Remark 1 the result follows from the same observation for undirected graphs. As is well known for the Kneser graphs $G_n := K(n, 2), n \geq 4$, we have $\chi(G_n) - \chi_f(G_n) = (n-2) - \frac{n}{2} = \frac{n}{2} - 2 \rightarrow \infty$ (cf. [SU13], page 32). \square

Now we compare $\vec{\chi}^*$ and $\vec{\chi}_c$ in more detail. We see the main advantage of our new parameter in the fact, that it is sufficient to consider only the strong components of a digraph D in order to compute $\vec{\chi}^*(D)$.

Observation 1 Let D be a digraph and $S = D(X, \bar{X})$ a directed cut. Let $D_1 := D[X], D_2 := D[\bar{X}]$. Then

$$\vec{\chi}(D) = \max\{\vec{\chi}(D_1), \vec{\chi}(D_2)\}, \vec{\chi}^*(D) = \max\{\vec{\chi}^*(D_1), \vec{\chi}^*(D_2)\}.$$

On the other hand for the circular dichromatic number the existence of a dominating source completely destroys any extra information we hope to gain compared to the dichromatic number.

Proposition 5 Let D be a digraph. We denote by D^s the digraph arising from D by adding an extra vertex s , which is a source adjacent to every vertex in $V(D)$. Then $\vec{\chi}_c(D^s) = \vec{\chi}(D)$.

Proof. By Observation 1 we have $\vec{\chi}_c(D^s) \leq \vec{\chi}(D^s) = \vec{\chi}(D)$. Assume contrary to the assertion that there was a strong p -colouring c of D^s with $p < \vec{\chi}(D) =: k$. We may assume $c(s) = 0$. According to the definition of a strong colouring, the interval $[0, 1)_p$ does not contain any other vertices, hence $c(V(D)) \subseteq [1, p)_p$. Since $p - 1 < k - 1$, we can decompose the interval $[1, p)_p$ into $k - 1$ pairwise disjoint cyclic subintervals I_1, \dots, I_{k-1} of S_p , each of length less than one and covering all the finitely many colouring points. If (u, w) is an edge such that $c(u), c(w) \in I_l$ are contained in the same interval, then, since c is a strong colouring, we must have $c(u) > c(w)$. Hence, each $c^{-1}(I_l)$ induces an acyclic subdigraph of D for each l , all together defining a $(k - 1)$ -digraph colouring of D , contradiction. This proves the claim. \square

Example 1 $\vec{\chi}^*(\vec{C}_n^s) = \frac{n}{n-1} < 2 = \vec{\chi}_c(\vec{C}_n^s)$ for all $n \geq 3$.

Proof. According to Observation 1 and Theorem 2 we have $\vec{\chi}^*(\vec{C}_n^s) = \vec{\chi}^*(\vec{C}_n) = \frac{n}{n-1}$. The remaining equality follows immediately from Proposition 5. \square

Corollary 3 For every $\varepsilon > 0$ there is a digraph D with $\vec{\chi}_c(D) - \vec{\chi}^*(D) \geq 1 - \varepsilon$.

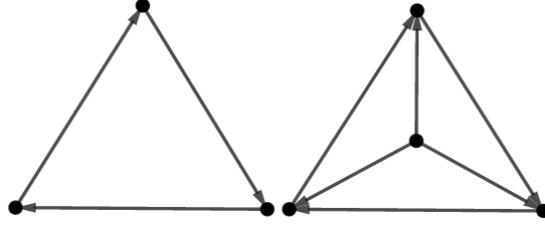


Figure 1: Stacking a source onto a directed triangle. While the star dichromatic number remains unchanged with value 1.5, the circular dichromatic number increases from $\vec{\chi}_c(\vec{C}_3) = 1.5$ to $\vec{\chi}_c(\vec{C}_3^s) = 2$.

4 The Star Dichromatic Number of Simple Planar Digraphs and Circular Vertex Arboricity

Let G be a given (unoriented) graph. If we want to estimate $\vec{\chi}^*(G)$, we need to find (k, d) -digraph colourings for every possible orientation of G . The simplest way of doing this is to find a single colouring of $V(G)$ yielding a legal (k, d) -colouring on all the possible orientations at the same time. This leads to the following definition which is introduced in [WZLW11]

Definition 8 ([WZLW11]). Let G be a graph and $(k, d) \in \mathbb{N}^2$, $k \geq d$. A (k, d) -tree-colouring of G is a colouring $c : V(G) \rightarrow \mathbb{Z}_k \simeq \{0, \dots, k-1\}$ of the vertices so that with $A_i := \{i, i+1, \dots, i+d-1\} \subseteq \mathbb{Z}_k$, $c^{-1}(A_i)$ induces an acyclic subgraph of G for all $i \in \mathbb{Z}_k$.

The authors of [WZLW11] now define the *circular vertex arboricity* of a graph G as the minimal value

$$va^*(G) := \inf \left\{ \frac{k}{d} \mid \exists (k, d)\text{-colouring of } G \right\}.$$

The above now immediately implies

Remark 2 For every graph G , $\vec{\chi}^*(G) \leq va^*(G)$.

As in the previous chapter, they also proved an alternative representation of this fractional quantity in terms of real numbers:

Definition 9 ([WZLW11]). Let G be a graph and $p \geq 1$. Then a *p-circular tree colouring* of G is defined as an assignment $c : V(G) \rightarrow S_p \simeq [0, p)$ so that for every open interval $I = (a, b)_p \subseteq [0, p)$ of length 1, $c^{-1}(I)$ induces an acyclic subgraph of G .

Theorem 8 ([WZLW11]) For every graph G we have

$$va^*(G) = \inf \{ p \mid \exists p\text{-circular tree colouring of } G \}.$$

An important conjecture related to colourings of digraphs is the 2-colour-conjecture by Victor-Neumann-Lara:

Conjecture 1 (Neumann-Lara, 1985) $\vec{\chi}(D) \leq 2$ for every simple planar digraph D .

According to the above, this is equivalent to $\vec{\chi}^*(D) \leq 2$ for simple planar digraphs. While the conjecture still remains unproven and since the best known general result so far only guarantees the existence of 3-colourings of simple planar digraphs (via vertex arboricity, [CK69]), the following

can be seen as an improvement of the upper bound 3 for the star dichromatic number of planar digraphs:

Theorem 9 *Let D be a simple planar digraph. Then $\vec{\chi}^*(D) \leq 2.5$.*

Proof. In [WZLW11] it is proved that $\text{va}^*(G) \leq 2.5$ for simple planar graphs. The claim now follows from Remark 2. \square

While the star dichromatic number can be considered an oriented version of the circular vertex arboricity there does not seem to be an unoriented counterpart to the circular colourings introduced by Bokal et al.. Note that any map $c : V(G) \rightarrow S_p \simeq [0, p)$ that is a simultaneous weak circular p -colouring of each possible orientation of a graph G which is no forest necessarily must have $p \geq 2$.

The bound $\vec{\chi}^*(D) \leq 2$ for planar digraphs as a consequence of the 2-colour-conjecture is best-possible as there exist simple planar digraphs with star dichromatic number arbitrarily close to 2. This is a consequence of the case $g = 3$ of the following theorem.

Theorem 10 *For every $g \geq 3$ and every $\varepsilon > 0$, there exists a planar digraph D of digirth g with $\vec{\chi}^*(D) \in [\frac{g-1}{g-2} - \varepsilon, \frac{g-1}{g-2}]$.*

Proof. Knauer et al. [KVV17] constructed a sequence $(D_f^g)_{f \geq 1}$ of planar digraphs of digirth g with $|V(D_f^g)| = f(g-1) + 1$ and so that for the maximum order $\vec{\alpha}(D_f^g)$ of an induced acyclic subdigraph of D_f^g , we have $\vec{\alpha}(D_f^g) \leq \frac{|V(D_f^g)|(g-2)+1}{g-1}$ for all $f \geq 1$. Applying Lemma 1 this yields

$$\vec{\chi}^*(D_f^g) \geq \vec{\chi}_f(D_f^g) \geq \frac{|V(D_f^g)|}{\vec{\alpha}(D_f^g)} \geq \frac{|V(D_f^g)|(g-1)}{|V(D_f^g)|(g-2)+1}.$$

Since the latter expression is convergent to $\frac{g-1}{g-2}$ for $f \rightarrow \infty$, it remains to show that all the D_f^g , $f \geq 1$ admit acyclic $(g-1, g-2)$ -colourings. This is easily seen using the inductive construction described in [KVV17]. For $f \geq 2$, D_f^g arises from D_{f-1}^g by adding an extra directed path $P = s_1, \dots, s_{g-1}$ with $g-1$ new vertices whose only connections to $V(D_f^g)$ consist of two vertices $x \neq y \in V(D_{f-1}^g)$ that both are adjacent to x_1 and x_{g-1} via edges that are oriented in such a way that x, P as well as y, P induce directed cycles. Now we inductively find an acyclic $(g-1, g-2)$ -colouring by colouring the vertices of P with the $g-1$ pairwise distinct colours. Clearly, this cannot create any new directed cycle using at most $g-2$ colours. \square

There is some evidence that the construction given in [KVV17] is asymptotically best-possible. Thus, we are tempted to generalize the 2-colour-conjecture as follows:

Conjecture 2 *For every planar digraph D of digirth at least $g \geq 3$, we have $\vec{\chi}^*(D) \leq \frac{g-1}{g-2}$. In other words, D admits a colouring with $g-1$ colours so that each directed cycle in D contains each colour at least once.*

The above implies that this bound for a given g , if true, is best-possible. We furthermore note that these upper bounds for $g \geq 4$ do not apply for the circular dichromatic number $\vec{\chi}_c(D)$. (take e.g. \vec{C}_g^s from example 1). We are not aware of an example for which the bound in the above inequality is attained with equality. In particular we do not know a simple planar digraph with star dichromatic number 2. Considering the K_4 and more generally odd and even wheels we find:

Example 2 For $k \geq 3$ denote by W_k the wheel with $k + 1$ vertices.

(A) If k is odd, then

$$\vec{\chi}^*(W_k) = \vec{\chi}_f(W_k) = \frac{3}{2}.$$

(B) If k is even, then

$$\vec{\chi}^*(W_k) = \frac{5}{3} \quad \text{but} \quad \vec{\chi}_f(W_k) = \frac{3k - 2}{2k - 2}.$$

In particular, for every $\varepsilon > 0$, there is a simple planar graph G with $\vec{\chi}^*(G) - \vec{\chi}_f(G) \geq \frac{1}{6} - \varepsilon$.

Proof. In the following, whenever we refer to a vertex w , it is to be understood as the dominating vertex of the respective wheel we deal with. In the following, wheels are considered to be canonically embedded in the plane such that w is the only inner vertex.

(A) As a wheel contains a triangle, using Corollary 2, we find $\frac{3}{2} = \vec{\chi}_f(C_3) \leq \vec{\chi}_f(W_k) \leq \vec{\chi}^*(W_k)$. Next, we construct an acyclic $(3, 2)$ -coloring. Since k is odd, along the circular ordering of incoming and outgoing edges around w , there has to be a consecutive pair of edges with the same orientation, i.e., both incoming or both outgoing. Denote by x_1, x_2 their end vertices on the rim. We now color W_k by assigning 0 to w and colouring the outer cycle using alternately 1 and 2 except for x_1, x_2 , which both receive 1. Clearly, this is an acyclic $(3, 2)$ -coloring, unless the outer cycle is directed. In that case, not only the triangle wx_1x_2 , but also one of its neighbouring triangles is not directed. Hence, we may assume that, say, x_1 is not a vertex of a directed triangle. Now recoloring x_1 with color 0 yields an acyclic $(3, 2)$ -coloring. Hence for every orientation D of W_k we find $\vec{\chi}^*(D) \leq \frac{3}{2}$.

(B) We first prove $\vec{\chi}^*(D) \leq \frac{5}{3}$ for all orientations D of W_k . If the outer cycle is undirected similar to case (A) we find an acyclic $(3, 2)$ -colouring of D by assigning 0 to the central vertex and alternately 1, 2 to the outer vertices. Hence we may assume that the outer cycle is directed in D . If there exists a pair of consecutive vertices x_1, x_2 on the outer cycle where wx_1x_2 is not a directed triangle, recoloring either x_1 or x_2 by 0 yields an acyclic $(3, 2)$ -coloring as in the odd case. So, we may assume that the outer cycle is directed and all edges incident to w are alternately incoming and outgoing in the cyclic order of $E_D(w)$. Hence, in the cyclic order, every second triangle is directed and the others are not. We define an acyclic $(5, 3)$ -colouring of D by starting with a 0, 2, 3-colouring of the vertices, where w receives colour 0 and the outer vertices alternating colours 2 and 3 such that the directed triangles have its vertices coloured by 0, 3, 2 in cyclic order. Now choose one directed edge whose tail is coloured by 2 and recolor its head with 4 and its tail with 1. It is now easily seen that the vertices of no directed triangle nor of the outer cycle are contained in the union of three consecutive colour classes of colours of $\mathbb{Z}_5 = \{0, 1, 2, 3, 4\}$, which proves $\vec{\chi}^*(D) \leq \frac{5}{3}$ also in this case.

Next we show that $\vec{\chi}^*(W_k) \geq \frac{5}{3}$. Clearly, this can be true only for the orientation considered the last for the upper bound. Let p be any real number admitting an acyclic colouring. As D contains a directed triangle, $p \geq \frac{3}{2}$. Assume for a contradiction $p < \frac{5}{3}$ and let $c : V(D) \rightarrow [0, p)$ be an acyclic p -colouring of D . We may assume $c(w) = 0$. We will show that $|c(v)|_p \geq 2 - p$ for all $v \in V(D) \setminus \{w\}$.

Assume this was wrong. Possibly replacing c by $\tilde{c} := p - c \pmod p$ this yields the existence of a vertex $v \in V(D) \setminus \{w\}$ such that $0 \leq c(v) < 2 - p$. Let u be the other vertex

in the unique directed triangle containing w and v . Let $m := \frac{c(v)}{2} \in S_p$, then $S_p \subseteq [0, (\frac{p}{2} + m) \bmod p]_p \cup [(\frac{p}{2} + m) \bmod p, c(v)]_p$ and $|\frac{p}{2} + m - c(v)|_p = \frac{p}{2} + m < \frac{p+2-p}{2} = 1$. Hence in any case $\{c(w), c(u), c(x)\}$ is contained in an interval of length strictly smaller than 1 contradicting c being an acyclic p -colouring.

Thus, indeed $|c(v)|_p \geq 2 - p > \frac{1}{3}$ for all outer vertices. Hence the image of the outer directed cycle under c is contained in an open cyclic subinterval of length $p - \frac{2}{3} < 1$, again contradicting the definition of an acyclic p -colouring. We conclude $\vec{\chi}^*(W_k) \geq \vec{\chi}^*(D) \geq \frac{5}{3}$ for this special orientation, proving the claims for the star dichromatic number.

We now turn to proving $\vec{\chi}_f(W_k) \leq \frac{3k-2}{2k-2}$. Denote by V^+, V^- a bipartition of the outer cycle of W_k . Note that $V^+ \cup \{w\}, V^- \cup \{w\}$ and all subsets of $V(W_k) \setminus \{w\}$ of size $k-1$ induce forests in W_k , hence also acyclic sets for any orientation of W_k . We construct an instance of (2) by putting a weight of $\frac{1}{2}$ on each of $V^+ \cup \{w\}, V^- \cup \{w\}$, and a weight of $\frac{1}{2(k-1)}$ on each of the k subsets of $V(W_k) \setminus \{w\}$ of size $k-1$, all other acyclic vertex sets receive a weight of 0. We compute $\frac{1}{2} + \frac{1}{2} \geq 1$ for w and $(k-1) \cdot \frac{1}{2(k-1)} + \frac{1}{2} \geq 1$ for each outer vertex. Hence, we have a feasible instance (2), verifying $\vec{\chi}_f(W_k) \leq \frac{1}{2} + \frac{1}{2} + \frac{k}{2(k-1)} = \frac{3k-2}{2k-2}$ as claimed.

Finally, we prove $\vec{\chi}^*(W_k) \geq \frac{3k-2}{2k-2}$ using the same special orientation D of W_k where the outer cycle is directed and the orientations of edges incident to w are alternating in cyclic order. We construct a suitable instance of the dual program (3), defining $y_w := \frac{k-2}{2k-2}$ and $y_v := \frac{1}{k-1}$ for every outer vertex. Let A be a maximal acyclic set. If $w \notin A$, then, since the outer cycle is directed, $A = V(W_k) \setminus \{w, x\}$ for some outer vertex x . In this case, we verify

$$\sum_{v \in A} y_v = (k-1) \cdot \frac{1}{k-1} = 1.$$

If $w \in A$, clearly A contains at most one vertex of each directed triangle. Therefore $|A \setminus \{w\}| \leq \frac{k}{2}$ and again we verify the restriction:

$$\sum_{v \in A} y_v \leq \frac{k}{2} \cdot \frac{1}{k-1} + \frac{k-2}{2k-2} = 1.$$

Using linear programming duality we find $\vec{\chi}_f(D) \geq \sum_{v \in V(W_k)} y_v = \frac{k}{k-1} + \frac{k-2}{2k-2} = \frac{3k-2}{2k-2}$. □

Concerning fractional dichromatic numbers, Conjecture 2 would imply an upper bound of $\vec{\chi}_f(D) \leq \frac{g-1}{g-2}$ for planar digraphs of digirth g . In the following, we want to approach this upper bound by showing that indeed, $\vec{\chi}_f(D)$ tends to 1 for planar digraphs of large digirth. In order to do this, we recall the following terminologies as well as a related famous max-min-principle, known as Lucchesi-Younger-Theorem:

Definition 10.

- A *clutter* is a set family with no members containing each other.
- A subset of arcs in a digraph is called *dijoin* if it intersects every directed cut.
- A subsets of arcs in a digraph is called *feedback arc set* if it intersects every directed cycle.

Theorem 11 (Lucchesi-Younger, cf. [LY78]) *Let D be a digraph and $w : E(D) \rightarrow \mathbb{N}_0$ a weighting of the edges with non-negative integers. Then the minimal weight of a dijoin in D equals the maximum number of (minimal) dicuts in D so that every arc a is contained in at most $w(a)$ of them.*

The terminology used in the following refers to [Cor01], especially Chapter 1.1. According to Definition 1.5 and Theorem 1.25 in [Cor01], the Lucchesi-Younger-Theorem means that the clutter of minimal directed cuts in any digraph admits the Max-Flow-Min-Cut-Property (MFMC). Consecutive application of Theorem 1.8 and Theorem 1.17 in [Cor01], where the latter is a theorem of Lehman (cf. [Leh79]), yields that the blocker of the clutter of minimal directed cuts, namely the clutter of minimal dijoins, is ideal. This means the following statement pointed out in the article [OPG] on Woodall's Conjecture in the Open Problem Garden:

Theorem 12 *Let D be a digraph and let g denote the minimal size of a directed cut in D . Then there is a collection of dijoins J_1, \dots, J_g and an associated weighting $x_1, \dots, x_g \in \mathbb{R}_+$ so that $x_1 + \dots + x_g = g$ and for every arc $e \in E(D)$, $\sum_{i:e \in F_i} x_i \leq 1$.*

By considering planar digraphs and their directed duals, the dualities between minimal directed cuts and directed cycles as well as of dijoins and feedback arc sets yield:

Corollary 4 *If D is a planar digraph of digirth g , then there are g feedback arc sets $F_1, \dots, F_g \subseteq E(D)$ equipped with a weighting $x_1, \dots, x_g \in \mathbb{R}_+$ so that $x_1 + \dots + x_g = g$ and for each edge $e \in E(D)$, $\sum_{i:e \in F_i} x_i \leq 1$.*

From this we may now conclude the desired upper bound for the fractional dichromatic number:

Theorem 13 *Let $g \geq 6$. Then for every planar digraph D of digirth g we have $\vec{\chi}_f(D) \leq \frac{g}{g-5}$.*

Proof. Without loss of generality assume D to be simple. Let $F_1, \dots, F_g, x_1, \dots, x_g$ be as given by Corollary 4. Use the 5-degeneracy of $U(D)$ in order to derive an ordering v_1, \dots, v_n , $n := |V(D)|$ of the vertices so that for each $i \in \{1, \dots, n\}$, v_i has degree at most 5 in $G_i := U(D)[v_1, \dots, v_i]$. For each v_i , let $c(v_i)$ denote the set of $j \in \{1, \dots, g\}$ so that v_i has an incident edge in $F_j \cap E(G_i)$. Then obviously

$$\sum_{j \in c(v_i)} x_j \leq \sum_{e \in E_{G_i}(v_i)} \sum_{j: e \in F_j} x_j = \deg_{G_i}(v_i) \leq 5$$

for each v_i . Furthermore, the vertex set $X_j := \{x \in V(D) \mid j \notin c(x)\}$ is acyclic in D for all $j = 1, \dots, g$: In any directed cycle C in D we find an arc contained in F_j , and thus, j is contained in at least one of the c -sets of its end vertices.

We now define an instance of the linear optimization program 2 defining $\vec{\chi}_f(D)$ according to $x_A = \frac{i_A}{g-5}$, where $i_A = \sum_{j \in \{1, \dots, g\}: A = X_j} x_j$ for each $A \in \mathcal{A}(D)$. Then those variables are non-negative and for each vertex v , we have

$$\sum_{A \in \mathcal{A}(D, v)} i_A = \sum_{j \in \{1, \dots, g\}: v \in X_j} x_j = \sum_{j \notin c(v)} x_j = \sum_{j=1}^g x_j - \sum_{j \in c(v)} x_j \geq g - 5.$$

Hence this is a legal instance proving $\vec{\chi}_f(D) \leq \sum_{A \in \mathcal{A}} \frac{i_A}{g-5} = \frac{\sum_{j=1}^g x_j}{g-5} = \frac{g}{g-5}$. \square

5 Conclusion and Some Open Problems

The star dichromatic number of a digraph introduced and analysed in this paper seems to share all desirable attributes of the competing parameter from [BFJ⁺04], the circular chromatic num-

ber. But, while the star dichromatic number is always a lower bound for the circular dichromatic number, it has the additional advantage that it is immune to the existence of directed cuts, while the addition of a dominating source makes the circular dichromatic number hit the ceiling. We therefore believe that the parameter introduced in the present paper yields a preferable generalization of the star chromatic number of Vince to the directed case. This is also supported by the fact that it can be seen as oriented version of the circular vertex arboricity.

In the planar case it might be true that the star chromatic number approaches 1 when the digirth increases (Conjecture 2). Note that this is impossible for $\vec{\chi}_c(D)$. It might be rewarding to study in particular the case $g = 4$ of Conjecture 2, e.g., orientations of planar triangulations without directed triangles, as recently there has been substantial progress towards digraph colourings of this class ([LB17]).

Also, it would be interesting to determine the computational complexity of decision problems of the form:

Instance: A digraph D (possibly from a certain class) and a real number $p > 1$.

Decide whether $\vec{\chi}^*(D) \leq p$.

In [FHM03] it was shown that corresponding decision problem for the circular dichromatic number is NP-complete, even if restricted to planar digraphs. We conjecture that the same should be true for the star dichromatic number. This is true at least for all integers $p \in \mathbb{N}, p \geq 2$, since in that case $\vec{\chi}^*(D) \leq p \Leftrightarrow \vec{\chi}_c(D) \leq p$ for all digraphs D .

We want to conclude with an incomplete list of other natural questions that remain unanswered in this paper:

- Is there a simple planar digraph D with $\vec{\chi}^*(D) = 2$?
- More general, i.e., is there a planar digraph of digirth $g \geq 4$ with $\vec{\chi}^*(D) = \frac{g-1}{g-2}$?
- Is there a meaningful characterization of digraphs with $\vec{\chi}^*(D) = \vec{\chi}_f(D)$?
- Which digraphs satisfy $\vec{\chi}^*(D) = \vec{\chi}(D)$ or, more generally, $\vec{\chi}^*(D) = \vec{\chi}_c(D)$?
- What about the star dichromatic number of tournaments?

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